



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/845,960	05/01/2001	Hideo Takiguchi	862.1336 D1	5111

5514 7590 02/23/2007  
FITZPATRICK CELLA HARPER & SCINTO  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112

EXAMINER
----------

NGUYEN, PHU K

ART UNIT	PAPER NUMBER
----------	--------------

2628

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	02/23/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

09/845,960

Applicant(s)

TAKIGUCHI ET AL.

Examiner

Phu K. Nguyen

Art Unit

2628

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 19 January 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 126-147 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 126-147 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

  
**PHU K. NGUYEN**  
**PRIMARY EXAMINER**  
**GROUP 2300**

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

Art Unit: 2628

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 146 and 147 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

In claim 146, the claimed "program" per se; i.e., the descriptive function of the instruction, is not physical "thing." They are neither computer components nor statutory processes, as they are not "acts" being performed. See Lowry, 32 F.3d at 1583-84, 32 USPQ2d at 1035.

In claim 147, the claimed "program" per se; i.e., the descriptive function of the instruction, is not physical "thing." Although the claimed program is stored in a computer storage medium, but they are neither computer components nor statutory processes, as they are not "acts" being performed. See Lowry, 32 F.3d at 1583-84, 32 USPQ2d at 1035.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 126-147 are rejected under 35 U.S.C. 103(a) as being unpatentable over ENOKIDA et al. (6,335,746) in view of Perlin et al.

Claim 126 requires a hierarchical data display method of displaying hierarchically managed data items, comprising the steps of: setting in a background indicating a parent hierarchical level, a first area in which parent data item(s) belonging to a parent hierarchical level is displayed and a second area in which child data item(s) belonging to a child hierarchical level is displayed and different from the parent data items is displayed, so that the first and second areas are displayed exclusively and without overlapping each other in a display area of every hierarchical level; and controlling a display of parent and child data icons respectively representing the data items in each of the areas. Perlin et al. Teach the setting in a background indicating a hierarchical level as the Pad information plane, as explained in the abstract', a first area in which data item(s) belonging to a parent hierarchical level, being the screen, as explained at section 1.2 at page 57., and a second area in which data items belonging to a child hierarchical level is displayed, corresponding to Perlin's portals, at page 57 section 1 .2, in a display area of every hierarchical level, at the abstract, and at page 59 figure 1; and controlling the representations, at pages 57-58. Perlin et al. further teach hierarchical relationships between the various areas or portals at least at page 57. section 1 Introduction, at page 61 section 3.2 Display Items, at page 62 section 5.3, Hierarchical Text Editor where the PAD system is characterized as a hierarchical domain, at page 63 sections 5.5 Multiple Narrative Paths and 5.6 Cooperative Pad Applications. While Perlin et al. teach most claimed features as outlined above, it is noted that the first and second areas are displayed exclusively and without overlapping each other, and data icons representing data items while separating the parent and

Art Unit: 2628

child data icons into the exclusive areas is not explicitly taught. However, Enokida teaches these features at figure 5. It would have been obvious to one of ordinary skill in the art to combine the references because, as Enokida et al. shows at figure 5, the size adjusted images are displayed exclusively on the screen.

Claims 136, 146 and 147 are rejected under the same rationale applied to the rejection of claim 126.

Claims 135 and 145 require a size of each data icon is determined corresponding to the number of the data items. Perlin et al. Teach this at figures 2-4.

Claims 127 and 137 require said sizes of said division areas are determined on the basis of the number of data items belonging to one level and the number of data items belonging to child levels. This can be seen at figures 2-4 at Perlin et al. Note in figure 3 that 1992 level has a size according to the number of months (child levels) that it contains', and the child levels have a size according to the month identifiers which they contain.

Claims 128 and 138 require when there are a plurality of child levels, a display area for each child level is determined according to the number of data items belonging to levels subordinate to said child level. Perlin et al. Teach this at figures 2-4.

Claims 129, and 139 require said child levels are displayed in a background expressing a parent level, and said background is selected and displayed so that a hierarchical depth can be distinguished. Perlin et al. Teach this at figures 2-4 and at the abstract.

Claims 130 and 140 require as said hierarchical depth increases, said background is displayed in a deeper color.

This is inherent regarding any color because, by definition, a deeper hierarchical depth will be associated with some color, the color being deeper by virtue of being at a deeper hierarchical depth.

Claims 133 and 143 require a step of zooming in a desired level by performing a given operation after designating a display area for said desired level; zooming out a level zoomed by performing said given operation so as to display a parent level; zooming in a desired level by performing a given operation, wherein when a zoom out is instructed in the desired level, the display of items are controlled so that data items belonging to parent levels) of the desired level are displayed', wherein when said zoom-in means is selected, said zoom direction is a direction toward a deeper position in a hierarchy, and when said zoom-out means is selected, said zoom direction is a direction toward a shallower position in said hierarchy', wherein a level or data icon is zoomed in, panned, or zoomed out by varying said icon display size and data icon display position.

Art Unit: 2628

Perlin et al. Teach these features as semantic zooming and navigating using portals, at the whole article.

Claims 132 and 142 require displaying the detailed contents of a desired level by performing a given operation after designating a display area for said desired level; and zooming in a desired level by performing a given operation, wherein when a zoom up is instructed in the desired level, the detailed contents of the desired level are displayed.

Perlin et al. Teaches this at figures 2-4.

Claims 134 and 144 require judging whether a remaining area is left in which the first and second areas have not been set, wherein the first and second areas are set in the remaining area when the remaining area is left. Perlin et al. Teach this at pages 57-58 at section 1 .2. Perlin et al. teach the features of claims 131 and 141 at figs. 3 and 4.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phu K. Nguyen whose telephone number is (571) 272 7645. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Razavi can be reached on (571) 272 7664. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2628

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Phu K. Nguyen  
February 16, 2007

  
**PHU K. NGUYEN**  
**PRIMARY EXAMINER**  
**GROUP 2300**